Arizona Department of Public Safety
Victims of Crime Act (VOCA) Administration
System for Administering Grants Effectively (SAGE)

SAGE Show Help Instructions

MY HOME ............................................................................................ 2
MY ORGANIZATION ........................................................................... 3
APPLICATION FORM INSTRUCTIONS .............................................. 4
  1. APPLICANT SUMMARY FORM ....................................................... 4
  2. SERVICES AND VICTIM POPULATION FORM .......................... 6
  3. AGENCY INFORMATION FORM ................................................... 6
  4. PROJECT INFORMATION FORM .................................................. 8
  5. PERFORMANCE MEASURES FORM ............................................. 9
  6. PERSONNEL FORM .................................................................. 12
  7. VOLUNTEERS FORM ................................................................. 13
  8. CONTRACTUAL SERVICES FORM ............................................ 14
  9. MILEAGE & TRAINING FORM ................................................... 15
 10. CAPITAL EQUIPMENT FORM .................................................... 16
 11. OTHER EXPENSES FORM ....................................................... 17
 12. MATCH FORM .......................................................................... 19
 13. DETAILED BUDGET SUMMARY FORM .................................. 20
 14. SOURCE OF FUNDING FORM .................................................. 20

FINANCIAL, PROGRAMMATIC, AND ANNUAL REPORT INSTRUCTIONS ........ 22
  1. MONTHLY FINANCIAL REPORTS ............................................ 22
  2. QUARTERLY PROGRAM REPORTS ............................................. 23
  3. ANNUAL REPORTS .................................................................. 25
Welcome to SAGE!

**Initiating an Application**
You must complete the organization profile in order for the application opportunity to appear. Only Project Director and Financial Contact roles will be able to initiate and submit an application.

Below you will find detailed instructions on how to use the features of the Home Page. As you navigate and work in SAGE, keep in mind that every page contains a **Show Help** button providing detailed instructions and/or additional information.

For system related issues, contact the system help desk by phone or email.

**Help Desk Availability**
Hours: Monday thru Friday 8am to 5pm MST  
Phone: 1-866-449-1425  
Email: azhelpdesk@agatesoftware.com

**Home Page Features**

**Training Materials**
Select this link to open a panel displaying all of the training materials that are relevant to your security role. Training materials can be accessed from any screen within the system.

**My Organization(s)**
Select this link to view the organization(s) to which you are assigned. Based on your security role, you may have permissions to edit organization information.

**My Profile**
Select this link to access your account information. On the My Profile page, you can change your password, email address, phone number, and profile image.

**Logout**
Selecting this link will end your current session and log you out of the system.

**View Available Proposals**
Select the ‘View Opportunities’ button to view the funding opportunities available to your organization. The My Opportunities page will contain instructions on how to apply.

**My Inbox**
The My Inbox area will display all of your unread emails in the system. To read an email, select
the email subject or the email icon at the far left of each item. The email may contain hyperlinks to attachments or documents within the system. You can filter and sort through your unread messages by selecting an option from the drop-down menu then selecting the ‘Go’ button. Select the View All My Messages link to view and search all of your read messages within the system.

**My Tasks**
This area will contain links to documents that are currently active for you in the system based on your security role. When a document is listed under My Tasks, this indicates the document or report must be completed. Roll your mouse over the info icon to view a task description for the document. To navigate to the document, select the link under the Name column. You can filter and sort through your tasks by selecting an option from the drop-down then selecting the Go button.

**MY ORGANIZATION**

**ORGANIZATION INFORMATION**
This area contains the basic information for the organization such as name, address, organization email, etc.

- **Name**: Enter the official legal name of the agency. This will be the name that is listed on all applications as well as any contract(s). To update your organization name, contact a system administrator.

- **Short Name**: Choose a 3-5 character abbreviation for the agency. The short name will be part of the ID code associated with all applications and documents related to this agency.

- **Federal Employer Identification Number**: Enter the Federal Employer Identification Number for the agency – this is the 9-digit number assigned to the agency by the IRS for tax returns.

- **AZ Vendor Number**: This field will be completed by DPS.

- **Object Code**: This field will be completed by DPS.

- **DUNS Number**: Provide the agency’s Dun & Bradstreet Data Unification Numbering System (DUNS) number.

- **CAGE Number**: Provide the agency’s Commercial and Government Entity (CAGE) number.

- **Faith Based Organization**: Indicate whether or not the agency is a faith-based organization.

- **Agency Fiscal Year**: Select the appropriate fiscal year period used by the agency.

- **Accounting Method**: Select the appropriate accounting method used by the agency.

- **Payment Shipping Address**: Provide the payment shipping address, city, state, and zip code. If the agency receives paper checks from the State, all VOCA payments will be mailed to this address.
Address: Provide the agency’s address, city, state, and zip code +4. For help identifying your four digit extension, go to the United States Postal Service website.  USPS Zip Code Search

Phone: Provide the agency’s main phone number.

Website: Provide the URL address for the agency.

Type: From the dropdown menu, select the organization type that most accurately describes the agency.

ORGANIZATION MEMBERS
Select this link to view, edit, and add members to the organization. Only certain administrative roles will have the ability to add users, inactivate users, assign security roles, and edit user profiles. Contact the agency’s Project Director and/or Project Contact to update this information.

ORGANIZATION DOCUMENTS
This will display a list of documents that the organization has within the system. Documents will be referenced here until they meet their system archive date.

ORGANIZATION DETAILS
Select this link to complete the organization’s civil rights compliance documents. The system roles of Project Director, Project Contact, and Financial Contact have the ability to save information on this form.

APPLICATION FORM INSTRUCTIONS

1. APPLICANT SUMMARY FORM

APPLICANT SUMMARY

Agency Name: The Agency Name listed should be the official legal name of the applicant agency. This field is auto-populated based on the name listed under ‘My Organization’.

Service Provision Address: Provide the address, city, state, and zip code for this VOCA project. If the service provision address is confidential, provide the agency’s administrative address.

Year Victim Services Program Established: Provide the four-digit year of when the applicant agency’s victim services program was established.

Project Title: Enter a descriptive title for this VOCA project (abbreviate where necessary). If an agency is applying for more than one VOCA-funded project, each application must have a different project-related title to identify the individual projects.

CONTACT INFORMATION
Provide the salutation, name, title, address, telephone number (with extension if applicable), and email address for each of the contacts listed on the Applicant Summary form. If the address for any particular contact is the same as the address listed under ‘My Organization’, check the
‘address is the same as organization’ checkbox. The address will auto-populate upon clicking ‘Save’. If after completing this form, the organization address is updated under ‘My Organization’, this form must be re-saved to populate the new address in to the contact information fields.

**Project Contact:** This person will have responsibility for the day-to-day administration of the project. This will be the individual contacted by DPS to answer project questions and will receive all grant-related notices.

**Project Director:** The Project Director, as appointed by the applicant agency, is responsible for directing the implementation of this VOCA project and, unless someone other than the project director is identified as the project contact person, the project director will serve as the main contact with DPS for the application and subsequent agreement.

**Authorizing Official:** The Authorizing Official is the Agency Board President, Tribal Chief, or elected local government official, or the individual charged with the authority to sign legal agreements for the agency. The Authorizing Official must certify that the information presented in the application is correct and that the applicant agency agrees to comply with all provisions of the Victims of Crime Act, DPS-VOCA Guidelines, Office of Justice Programs (OJP) Financial Guide (current edition), Uniform Requirements for Federal Awards (Uniform Administrative Requirements, Cost Principles, and Audit Requirements), Federal assurances and certifications, agreement general and applicable special conditions, and other federal and state laws. This person will be listed as a signer on the DPS-VOCA Subgrant Award Agreement. DPS policy prohibits funded staff from serving as the Authorizing Official.

**Financial Contact:** The Financial Contact is responsible for ensuring fiscal accountability for federal and match funds.

**Civil Rights Contact:** The Civil Rights Contact is responsible for ensuring that the agency follows all civil rights and nondiscrimination laws and regulations. This person must complete the online civil rights training program offered by the Office for Civil Rights (OCR), Office of Justice Programs (OJP), Department of Justice (DOJ). Provide the date the designated individual completed the training. If training has not yet been completed, indicate by selecting the ‘training to be scheduled’ radio button. If ‘training to be scheduled’ is selected in error, double click the radio button to unselect it.

**Victim Compensation Coordinator:** The Victim Compensation Coordinator must be an employee of the applicant agency and must receive Victim Compensation training provided by the local county attorney’s office or the Arizona Criminal Justice Commission (ACJC). Provide the date the coordinator completed the training. If training has not yet been completed, indicate by selecting the ‘training to be scheduled’ radio button. If ‘training to be scheduled’ is selected in error, double click the radio button to unselect it.

**ORGANIZATION SUB-TYPE**

A list of Organization Sub-Types will auto-populate based on the Organization Type identified under ‘My Organization’. Select the radio button that best describes the agency. If the Organization Type listed is not correct, update the Organization Type under ‘My Organization’ then return to the Applicant Summary form to select the correct Organization Sub-Type.
2. SERVICES AND VICTIM POPULATION FORM

SERVICE IMPACT

Primary Use: Select the appropriate box or boxes to indicate the primary use(s) of this VOCA Grant.

Purpose of VOCA Grant: Select the appropriate radio button to indicate if this VOCA project is new, continuing, or expanding.

TRIBAL PROJECTS

The following projects are excluded from the match requirement: (1) Projects operated by Federally-recognized American Indian tribes; (2) Projects that operate exclusively on Federally-recognized tribal lands.

Indicate whether or not this agency or project meets one or more of the designations identified above. The response to this question will affect subsequent screens of the application. If ‘yes’ is selected, match will not be required for this project. If ‘no’ is selected, the project must meet the 20% match requirement.

VICTIM POPULATION

Victimization Types: Indicate all victimization types to be served through this VOCA project by selecting the corresponding checkboxes (do not check all victimization types served by your agency). If Hate Crime, Other Violent Crime, or Other Non-Violent Crime is selected, a description is required.

Victimization Percentages: Identify the percent of proposed VOCA funds the agency anticipates allocating to each victim type served through this project. Each Victimization Type selected must have an associated percentage and the percentages must total 100%. Base the estimated percentages on the actual number of victims the agency served last year. Use only statistics relating to the proposed VOCA project (these may not be the same as the total agency-wide statistics). If this project has not been funded by VOCA previously or if it is being expanded, calculate the percentages based upon realistic projections for the specific victim population(s) the project will be serving.

SERVICES TO BE PROVIDED WITH VOCA AND MATCH FUNDS

Check the boxes that best identify the types of services or activities that will be provided by this VOCA-funded project. Note that F1 is a required service. Report only the activities that will be funded through this VOCA project. Do not report services offered by another VOCA award received by the agency or any other agency. Check all that apply.

3. AGENCY INFORMATION FORM

MISSION STATEMENT

Question 1: Provide the agency’s mission statement. Response is limited to 500 characters.
Question 2: Provide the mission statement and/or philosophy of the agency’s Victim Services Program. Response is limited to 500 characters.

AGENCY SUMMARY

Question 3: Provide a general overview of the agency; this should include how long the agency has been in existence, areas of expertise, and skills or specialized experience in serving crime victims. Response is limited to 1500 characters.

Question 4: Describe the services the victim service program currently provides to victims of crime and the method(s) of service delivery. ‘Method(s) of service delivery’ refers to how your agency delivers services to victims (e.g. hotline, mobile advocacy, community-based services, in-person services, etc.). Response is limited to 1500 characters.

Question 5: Indicate the total number of paid staff for the agency’s victimization program and/or services for the current fiscal year. Do not include positions you are requesting to be funded by VOCA in this (or any other) VOCA application. When calculating the number of paid staff, each employee counts as one paid staff member regardless of the number of hours they work. This is not an FTE count.

Question 6: Upload an organizational chart that includes units/departments, individual positions (including requested positions), volunteer classifications, and layers of supervision relevant to/associated with this VOCA project. If the agency does not have an organizational chart depicting this information, the template provided can assist with creating one.

Question 7: Provide the total number of unduplicated victims served by the victim service program during the two fiscal year periods listed.

Question 8: Of the unduplicated victims served 10/1/2015-9/30/2016 (reported in Question 7), provide a breakdown of the number of victims served by victimization type. For example: Child Sexual Abuse = 53; Domestic Violence = 429; Adult Sexual Assault = 89; Assault = 108. Response is limited to 1000 characters.

Question 9: Define any procedures the victim service program has implemented or plans to implement to notify victims of their rights and assist them in applying for benefits under the victim compensation program (Note: this is a requirement for receiving VOCA funds). Response is limited to 1500 characters.

Question 10: Describe the victim service program’s victim screening/intake process. This may include how victims are referred to the program, whether or not there is a wait list, how victims are screened, and how the intake is conducted (in-person or by telephone). Response is limited to 1500 characters.

VOLUNTEER DETAIL

Question 11: It is a VOCA requirement that subrecipients utilize volunteers for their victim services program. Indicate whether or not the agency utilizes volunteers. If the agency does not utilize volunteers, indicate whether or not a volunteer waiver is on file with DPS.

Question 12: In the first field, provide the number of volunteer hours supporting the work of this VOCA award (including match) for the agency’s victimization program and/or services. In the second field, provide the number of volunteer staff supporting the work of this VOCA award (including match) for the agency's victimization program and/or services. Project the
number of volunteer hours and staff for the first fiscal year of this VOCA project only. When calculating volunteer staff, each individual volunteer counts as one volunteer staff member regardless of the number of hours they spend volunteering. This is not an FTE count.

**Question 13:** Identify the activities performed by volunteers and/or student interns within the agency. This includes all activities performed by volunteers and/or student interns, not just VOCA eligible activities. If the agency has a volunteer waiver in place with DPS, please indicate N/A. Response is limited to 1000 characters.

**Question 14:** Describe how the agency recruits, screens, trains, and supervises volunteers. Recruitment: identify how often volunteers are recruited and who recruits. Screening: describe what type of screening and interviews are conducted. Training: explain what type of training is conducted (topics and frequency). Supervision: identify how volunteers are supervised and who supervises them. If the agency has a volunteer waiver in place with DPS, please indicate N/A. Response is limited to 1000 characters.

**JURISDICTION**

Indicate the counties in which the agency provides services (select all that apply). These are the counties where the agency offers services, not where victims come from. This should include counties served by the entire agency, not just the counties served through this VOCA project. If the agency serves counties outside of Arizona, be sure to identify those counties in the description textbox.

**4. PROJECT INFORMATION FORM**

**PROBLEM STATEMENT**

The problem statement is the foundation upon which the rest of the application is built. It should identify the compelling conditions, problems, or issues to be addressed through this VOCA project. The problem statement must be rooted in factual information and demonstrate the impact to the community served through this project. Enough detail should be provided to convey a comprehensive understanding of the problem being addressed in this application. This section does not refer to a national problem or the internal needs of the agency such as a lack of funding; rather it refers to a community problem or need in the agency’s service area. Do not describe the approach to address the need or problem.

**Question 1:** Identify and describe the problem(s) to be addressed with this VOCA project. Describe the nature and scope of the problem(s) as it exists in the community to be served through this project. Support the problem statement with current data such as empirical studies, local crime patterns, agency statistics, community needs assessments, etc. Cite the source and date of the information. Include the victim populations (e.g. demographics, victimization types) to be served. Items that may be related to the problem statement may also include a description of the geographic area, populations of cities in the agency’s service area, poverty level, etc. Response is limited to 7000 characters.

**PROJECT SUMMARY**

The project summary is the agency's proposal to respond to the problem identified above and is the reviewer's first introduction to the proposal.
**Question 2:** Identify the specific services to be provided through this project. Response is limited to 2500 characters.

**Question 3:** Based on the services identified above, describe the changes that will take place for the population to be served through this project. Response is limited to 2500 characters.

**Question 4:** Explain how this project is in alignment with the goals of VOCA (Healing, Safety, Justice, and/or Economic Restabilization). Response is limited to 1500 characters.

**VICTIM SERVICES COORDINATION & COLLABORATION EFFORTS**

**Question 5:** Relevant to this project, describe how the agency communicates with other service providers to avoid duplicating or overlapping services within the county or service area. Response is limited to 1500 characters.

**Question 6:** Describe how the agency will ensure a continuum of care for crime victims served through this project. Detail any formal agreements with community partners. Response is limited to 1500 characters.

**Question 7:** Describe this agency’s participation in victim service collaborative work groups (e.g. statewide or local task forces, coalitions, committees, forums, etc.) relevant to this project. Response is limited to 1500 characters.

**Question 8:** Nonprofit Agencies: Describe how collaboration with government agencies (e.g. law enforcement, prosecution, corrections, courts, etc.) will strengthen this project. **Government Agencies:** Describe how collaboration with nonprofit agencies (e.g. child abuse service organizations, domestic and family violence organizations, sexual assault service organizations, etc.) will strengthen this project. Response is limited to 1500 characters.

**PROJECT ASSESSMENT PLAN**

**Question 9:** Describe the specific methods or tools used to measure the impact and effectiveness of the services provided through this project. This may include, but is not limited to, how feedback is gathered from victims, community members, collaborative partners, etc. Response is limited to 1000 characters.

**Question 10:** Provide a detailed explanation of how the agency will measure and periodically review its performance toward fulfilling the goals of this project. Response is limited to 3000 characters.

**5. PERFORMANCE MEASURES FORM**

Performance measures are used to measure results and ensure accountability. Develop a comprehensive set of performance measures for the selected goal(s).

The Performance Measures section of the application includes two levels of forms. The first form is called the ‘Selection’ form. The applicant will indicate the project focus and project goals on the ‘Selection’ form. Upon clicking ‘Save’, an additional form will appear for each of the goals.
selected. Use the form dropdown menu to navigate between Performance Measure forms. Be sure to complete the ‘Selection’ form as well as any goal forms that are created.

SELECTION FORM

Project Focus

Identify the primary focus of this VOCA-funded project. The focus selected will determine the core measures required for the project, which will be included in the Performance Measure Detail (goal) Forms.

Agencies may select a primary focus and then add measures to support additional victim populations (e.g., Primary focus of Domestic Violence, utilizing those core measures, and also adding additional measures for Child Abuse victims).

For those projects that don’t fall within these specifically identified categories, select ‘other’ and specify a project focus (e.g., advocacy center, emergency legal services, survivors of homicide, DUI, etc.).

Core Measures have been developed and are required for projects with a primary focus of child abuse, domestic violence, sexual assault, sexual assault hotline, or victim witness. There are no identified core measures for projects selecting ‘other’ as a project focus. Agencies will need to develop measures that are appropriate for the specific project. To accomplish this, it is recommended that agencies review the required core measures for the disciplines identified and utilize and/or customize them when developing performance measures.

Project Goal(s)

The primary purpose of VOCA grants has been incorporated into four goals for victim service projects. Determine which goal(s) reflect(s) the activities and services to be provided through this project. All four goals may not be necessary for each project; however, it is critical that the goal(s) selected support the scope of the project. It is recommended that the core measures be reviewed prior to selecting the goal(s) for this project. The four identified goals are Healing, Economic Restablization, Justice, and Safety.

PERFORMANCE MEASURE DETAIL (GOAL) FORMS

Input: One required input measure is automatically included in the performance measure detail table(s) for each goal form. The input measure is useful in showing the demand for services. Project the number of victims requesting services through this project for each fiscal year of the grant period.

Outputs: One required output measure is automatically included in the performance measure detail table(s) for each goal form. The output measure is useful in showing the response to the demand identified by the input measure. Project the number of victims receiving services through this project for each fiscal year of the grant period.

Developing additional outputs related to each goal is highly encouraged. Outputs should measure the amount of product or services provided. Outputs focus on the level of activity in a project. They are useful in defining what a project produces (e.g., # of victims receiving restitution services, # of victims receiving safety planning services, # of therapy sessions provided, # of court accompaniments provided, etc.).
**Outcomes:** Outcomes are changes in victims’ lives that occur as a result of the services provided through the project. Outcomes are changes in knowledge, behaviors, attitudes, skills, expectations, emotional status, or life circumstances that the project activities are designed to bring about in crime victims and their families. Outcomes are generally measured by utilizing feedback surveys completed by those served through the project.

**Outcomes – Required:** Core outcome measures have been developed for DPS-VOCA victim service providers. Core measures were identified for projects with a focus of: child abuse, domestic violence, sexual assault, sexual assault hotline, and victim witness. In the ‘Outcomes-Required’ section of the Performance Measure Detail table, be sure to include all required core outcomes for each goal selected. A PDF document with the required measures for each focus can be found on the ‘Selection’ form.

**Outcomes – Other:** If there are no required core outcome measures for the goal selected, or if ‘other’ was selected as the project focus, utilize this section to develop at least one outcome measure relevant to the goal. In addition to the required core outcome measures selected, this section may also be used to develop further outcome measures. Please refer to the following definitions when developing specific outcomes for each goal:

- **Healing Outcomes** are defined as improvements the victims make in their emotional and physical needs that assist in regaining control of their lives.

- **Economic Restabilization Outcomes** are defined as improvements the individual and/or family unit makes to restabilize their financial situation through victim compensation, restitution, job training and/or education.

- **Justice Outcomes** are defined as participation in the criminal justice system to the level preferred by the victim and being treated with respect and dignity within the process. This includes reduced anxiety with the system as demonstrated by victims attending court/hearings, a victim’s better understanding of the system, victims providing impact statements, victims conferring with prosecutor, etc.

- **Safety Outcomes** are defined as understanding the need for, and how to reduce or eliminate abuse by, building self-determination free from fear of another.

**Quality Measures – Required:** Quality measures reflect the effectiveness in meeting victims’ and stakeholders’ expectations (e.g., satisfaction rating). In the ‘Quality Measures-Required’ section of the Performance Measure Detail table, be sure to include all required quality measures for each goal selected. A PDF document with the required measures for each focus can be found on the ‘Selection’ form.

**Quality Measures – Other:** If the “other” focus was selected, the agency must provide at least one quality measure. Additional quality measures may be developed and entered in this section of the Performance Measure Detail table.

**Activities:** In the Activities narrative textbox located below the Performance Measure Detail table, identify the most critical steps (activities) required to achieve the outputs and outcomes identified for this goal. Be sure to identify the positions responsible for each activity. List the VOCA eligible direct service activities/job duties performed by project staff and/or volunteers. Activities are typically reflected as outputs. Response is limited to 1500 characters.
6. PERSONNEL FORM

Indicate whether or not personnel is being requested for this project (VOCA or Match). If the agency is requesting personnel (VOCA or Match) for this project, select 'yes' and complete the form. If the agency is not requesting personnel (VOCA or Match) for this project, then select 'no' and proceed to the next budget form.

Identify the positions to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. To add additional rows, complete the available rows and click 'Save'. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

**Position Title:** Identify the VOCA-funded and/or match positions being requested for this project. Do not identify individual employees by name, only a position title is required. If requesting more than one position with the same title, make the titles unique. Examples: Therapist #1, Therapist #2, Therapist #3 or Advocate – Human Trafficking, Advocate – Restitution, Advocate – Youth.

**Total Annual Salary (FTE):** Enter the Total Annual Salary for the position requested. The Total Annual Salary must reflect the salary amount for a full-time equivalent (FTE) staff member. If the position requested is only part-time, the full-time annual salary must still be entered in order to calculate the FTE correctly. For example, if requesting $25,000 for a part-time Victim Advocate (.50 FTE), the Total Annual Salary would be $50,000 and the VOCA and/or Match request would total $25,000. The VOCA Salary amount + Match Salary amount must be less than or equal to the Total Annual Salary. If overtime is requested for a position (VOCA or Match), the Total Annual Salary must include salary and overtime. If a position’s salary is funded by more than one source, overtime must be allocated appropriately among all funding sources.

**VOCA Salary:** Enter the salary amount to be funded by VOCA. If a position is to be used as Match only, enter $0 in the VOCA Salary field.

**Match Salary:** Enter the salary amount to be used as match for this project. If a position is to be funded by VOCA only, enter $0 in the Match Salary field.

**FTE:** The FTE for each position listed will be automatically calculated upon clicking ‘Save’. The FTE calculation is based on the salary amounts entered. Verify that the FTE calculation accurately reflects the intended request.

**VOCA Fringe:** Enter the fringe amount to be funded by VOCA. When calculating fringe benefits, the VOCA Fringe amount cannot exceed the proportionate share of salary. For example, if the position’s salary is funded 75% by VOCA and 25% by match or other funds, only 75% of the fringe benefits can be requested from VOCA. If no fringe benefits are requested to be funded by VOCA, enter $0.

**Match Fringe:** Enter the fringe amount to be used as match. When calculating fringe benefits, the Match Fringe amount cannot exceed the proportionate share of salary. Example 1: If 50% of a position’s salary is used as match and the remaining 50% is not project related, then only 50% of the fringe benefits can be used as match. Example 2: If 50% of a position’s salary is
used as match and 50% is funded by VOCA, then 100% of the fringe benefits can be used as match. If no fringe benefits are requested to be used as match, enter $0.

**Position Qualifications & Activities:** Click on the Personnel Activities Template to download the template required for this upload. Follow the template instructions and upload the document once completed. This document will outline the minimum qualifications, supervisor, and VOCA eligible duties performed for the positions requested (VOCA and/or Match). Do not attach the agency’s job description.

**Question 1 (Salary):** Explain any increases or decreases in Salary or FTE from year to year. If salary adjustments are agency-wide (e.g., cost of living adjustment, salary step increases, performance raises, etc.), you must include this in your response. If salary adjustments are position specific, provide an explanation for each position. If there are no increases or decreases, indicate N/A. Response is limited to 1000 characters.

**Question 2 (Fringe):** Identify the fringe benefits reflected in the request (include any mandated and/or voluntary benefits). Explain any anticipated changes from year to year. Specific amounts for each fringe benefit are not required. If fringe is not requested, indicate N/A. Response is limited to 1000 characters.

7. **VOLUNTEERS FORM**

**Indicate whether or not direct service volunteer time will be used as match for this project.** If the agency is utilizing direct service volunteer time as match for this project, select ‘yes’ and complete the form. If the agency is not utilizing direct service volunteer match for this project, then select ‘no’ and proceed to the next budget form.

Identify the types of direct service volunteers to be used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. To add additional rows, complete the available rows and click ‘Save’. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

**Volunteer Classification:** Volunteer positions must be identified by classification (not individuals). Only one volunteer classification should be created for each position type (e.g. Volunteer Advocates, Volunteer Crisis Responders, etc.).

**Volunteer Hourly Rate:** Enter a comparable hourly rate for each volunteer classification identified. This rate should be consistent with those rates paid for similar professional work in the labor market in which the project operates, or current minimum wage. The rate for volunteers may not exceed the hourly rate of paid staff conducting similar duties. Volunteer time used as match may only include VOCA eligible activities. FICA may be calculated in to the Volunteer Hourly Rate.

On-call time for volunteers may be included as match at a rate not to exceed $2.00/hour. Approval of on-call match will be considered by DPS on a case-by-case basis based on the agency’s plan for tracking and reporting this time. The actual time spent by on-call volunteers providing direct services over the phone or in person may be claimed at an hourly rate consistent with those rates paid for similar professional work.
**Total In-Kind Match**: Enter the total in-kind amount of volunteer time that will be used as match for this VOCA project.

**Total Direct Service Hours**: The total number of volunteer direct service hours will be automatically calculated upon clicking ‘Save’.

**Volunteer Qualifications & Activities**: Click on the Volunteer Activities Template to download the template required for this upload. Follow the template instructions and upload the document once completed. This document will outline the minimum qualifications, supervisor, and VOCA eligible duties performed for the volunteers to be used as match for this VOCA project. Do not attach the agency's volunteer job description.

**Volunteer Match Narrative Textbox**: Explain any increases or decreases in the volunteer hourly rate from year to year. If there are no increases or decreases, indicate N/A. Response is limited to 1000 characters.

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### 8. CONTRACTUAL SERVICES FORM

Indicate whether or not contractual services are being requested for this project (VOCA or Match). If the agency is requesting contractual services (VOCA or Match) for this project, select ‘yes’ and complete the form. If the agency is not requesting contractual services (VOCA or Match) for this project, then select ‘no’ and proceed to the next budget form.

Identify the contractual services to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. To add additional rows, complete the available rows and click ‘Save’. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

The contractual services category includes consultants such as a lawyer providing emergency legal advocacy services or a contracted therapist. Principal activities of the project may not be sub-awarded or contracted out to another organization without the approval of DPS.

**Service Provided**: Identify the type of contractual service requested (e.g., therapy services, support groups, attorney services, etc.). Identify unique services, not individual contractors. For example, the agency is requesting therapeutic services. The agency contracts with five different therapists to provide this service and all five therapists are contracted at a rate of $60 per hour. In this example, because the service and the rate of pay are consistent, only one line item would need to be entered. If the therapists are providing different types of therapy (e.g., art therapy, play therapy, equine therapy, etc.), then enter each unique service type as a separate line item.

**Rate of Pay**: Indicate the rate of pay for the contracted service. The federal maximum rate for consultant/contractual services is $81.25 per hour for up to 8 hours or $650 per day. An eight-hour day may include preparation and travel time in addition to the time required for actual
performance. These limits are not discretionary. Although $81.25 is the federal limit, this does not mean that all services should be requested at the maximum rate.

**Total Hours:** Enter the total number of hours dedicated to this project.

**Calculated Total:** The calculated total will automatically calculate upon clicking ‘Save’.

**VOCA Amount:** Enter the dollar amount to be funded by VOCA for each service type identified. If the contractual service is to be used as match only, enter $0. The VOCA amount + Match amount must equal the Calculated Total.

**Match Amount:** Enter the dollar amount to be used as cash match for each service type identified. Contractual services cannot be used as in-kind match. If the agency would like to use donated time as in-kind match, this should be requested on the Volunteers form. If the contractual service is to be funded by VOCA only, enter $0. The VOCA amount + Match amount must equal the Calculated Total.

**Total Project Amount:** The Total Project Amount will automatically calculate upon clicking ‘Save’. The Total Project Amount must equal the Calculated Total for each line item requested.

**Subcontractor Qualifications & Activities:** Click on the Contractual Services Activities Template to download the template required for this upload. Follow the template instructions and upload the document once completed. This document will outline the minimum qualifications, supervisor, reason(s) for subcontracting, and VOCA eligible duties performed by the subcontractor(s) through this project.

**Contractual Services Narrative Textbox:** Explain any increases or decreases in rate(s) of pay or hours devoted to the project from year to year. If there are no increases or decreases, indicate N/A. Response is limited to 1000 characters.

9. **MILEAGE & TRAINING FORM**

**MILEAGE**

*Indicate whether or not mileage is being requested for this project (VOCA or Match).* If the agency is requesting mileage (VOCA or Match) for this project, select ‘yes’ and complete the form. If the agency is not requesting mileage (VOCA or Match) for this project, then select ‘no’ and proceed to the next budget form.

Identify the mileage costs to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. Additional rows cannot be added on this form – all mileage should be combined in to one total mileage amount per year. The Total Project Amount for each year as well as the summary table for the entire grant period will automatically calculate upon clicking ‘Save’.

This budget category should only include mileage costs incurred by personnel while providing direct services (e.g. transporting a victim to access services, outreach, mobile advocacy, etc.) or while traveling to collaborative meetings (e.g. task forces, MDT meetings,
coalition meetings, etc.). Mileage must be calculated using the estimated number of miles x the rate set by the applicant agency or the current federal mileage rates.

**VOCA Amount:** Enter the dollar amount for mileage to be funded by VOCA each year. If mileage is to be used as match only, enter $0.

**Match Amount:** Enter the dollar amount for mileage to be used as match each year. If mileage is to be funded by VOCA only, enter $0.

**Justification:** Provide justification for the mileage request. Justification should include, but is not limited to, the following: purpose of travel, frequency of travel, need for transportation services, how project amount was determined, etc. Explain any increase or decrease from year to year. Response is limited to 2000 characters.

### TRAINING COSTS

**Indicate whether or not victim service training costs are being requested for this project (VOCA or Match).** If the agency is requesting training costs (VOCA or Match) for this project, select ‘yes’ and complete the form. If the agency is not requesting training costs (VOCA or Match) for this project, then select ‘no’ and proceed to the next budget form.

Identify the training costs to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. Additional rows cannot be added on this form – all training requests should be combined in to one total training amount per year. The Total Project Amount for each year as well as the summary table for the entire grant period will automatically calculate upon clicking ‘Save’.

This category includes costs for meals, lodging, registration fees for seminars or conferences, and associated travel costs incurred by employees or volunteers to attend trainings that improve services to victims. The agency must follow its travel policy or abide by the federal travel rates. VOCA cannot support training and related travel for non-direct service providers (e.g. Executive Directors, administrative staff, etc.).

**VOCA Amount:** Enter the dollar amount for victim service training to be funded by VOCA each year. If training is to be used as match only, enter $0.

**Match Amount:** Enter the dollar amount for victim service training to be used as match each year. If training is to be funded by VOCA only, enter $0.

**Justification:** For each year, identify the training(s) requested by title or topic, whether it is an in-state or out-of-state training, positions that will be attending, and estimated costs. Explain how each training will improve direct services to victims. Response is limited to 3000 characters.

### 10. CAPITAL EQUIPMENT FORM

**Indicate whether or not capital equipment is being requested for this project (VOCA or Match).** If the agency is requesting capital equipment (VOCA or Match) for this project, select ‘yes’ and complete the form. If the agency is not requesting capital equipment (VOCA or Match) for this project, then select ‘no’ and proceed to the next budget form.
Identify the capital equipment line items to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. To add additional rows, complete the available rows and click ‘Save’. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

The Capital Equipment category includes stationary and moveable equipment with a value of $5,000 or more per single unit. The cost of a single unit of equipment includes the necessary accessories and installation costs. Capital equipment is a one-time purchase. Applicants should identify the piece of equipment and the year in which it will be purchased. If an item is not used exclusively for direct services to victims, the amount must be prorated.

**Item:** Identify the item of capital equipment requested.

**Quantity:** Enter the quantity requested for each line item.

**Price per Unit:** Enter the cost per unit for each line item requested. The price per unit must reflect the total cost of the item and cannot be less than $5,000. If the cost of the item is less than $5,000, then the item is not considered capital equipment and must be requested on the Other Expenses budget form. If an item is prorated or is only partially funded by this project (VOCA or Match), the price per unit must still reflect the total cost of the item.

**Calculated Total:** The calculated total will calculate automatically upon clicking ‘Save’.

**VOCA Amount:** Enter the capital equipment dollar amount to be funded by VOCA for each line item. If a capital equipment line item is to be used as match only, enter $0. The VOCA amount + Match amount must be equal to or less than the Calculated Total. If an item is prorated, the VOCA amount + Match amount should be less than the Calculated Total, indicating that the entire cost of the item is not being charged to this project.

**Match Amount:** Enter the capital equipment dollar amount to be used as match for each line item. If a capital equipment line item is to be funded by VOCA only, enter $0. The VOCA amount + Match amount must be equal to or less than the Calculated Total. If an item is prorated, the VOCA amount + Match amount should be less than the Calculated Total, indicating that the entire cost of the item is not being charged to this project.

**Total Project Amount:** The Total Project Amount will calculate automatically upon clicking ‘Save’.

**Justification:** The following justification must be provided for each item of capital equipment requested: 1. Provide a detailed description and justify the need for this specific item. If an item is prorated, indicate the prorating method; 2. Describe how the purchase of this item will assist in meeting the project goals. Upon clicking ‘Save’, the system will automatically populate these two textboxes for each capital equipment line item requested. Each response is limited to 1000 characters.

11. OTHER EXPENSES FORM
Indicate whether or not other expenses are being requested for this project (VOCA or Match). If the agency is requesting other expenses (VOCA or Match) for this project, select ‘yes’ and complete the form. If the agency is not requesting other expenses (VOCA or Match) for this project, then select ‘no’ and proceed to the next form.

Identify the other expenses to be funded by VOCA and/or used as match on this project for each grant year. There is a separate table for each fiscal year of the grant period. To add additional rows, complete the available rows and click ‘Save’. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

The budget table for each year is broken into four subcategories: Non-Capital Equipment & Furniture, Operating Costs, Client Assistance, and Indirect Costs. Be sure each item is requested in the most appropriate subcategory.

- **Non-Capital Equipment & Furniture**: This subcategory may include items with a value less than $5,000 per single unit (e.g. computer with accessories, office furniture, desktop printer, victim waiting room furniture, etc.). Non-capital equipment and furniture must be for direct service use only. If an item is not used exclusively for direct services to victims, the amount must be prorated.
- **Operating Costs**: This subcategory may include items such as prorated rent and utilities for direct service space, communication costs (phone, internet, and cell phones), printing, postage, office supplies, victim service membership dues, etc.
- **Client Assistance**: This subcategory includes costs such as emergency financial assistance, transitional housing costs, and relocation expenses. Refer to the DPS-VOCA Guidelines (pages 15-16 and 19-20), for additional detailed information and requirements related to these line items.
- **Indirect Costs**: Indirect costs may be charged using the de minimis rate of 10% of modified total direct costs (MTDC) or the agency’s federally approved negotiated rate. Enter the indirect cost amount requested in the ‘VOCA Amount’ column and provide the indirect cost rate in the ‘Indirect Cost Rate’ column. Indirect costs cannot be used as match.

For each line item requested, the following information is required:

- **Item**: Indicate the item being requested. The title should be descriptive.
- **VOCA Amount**: Enter the dollar amount to be funded by VOCA for each line item. If a line item is to be used as match only, enter $0.
- **Match Amount**: Enter the dollar amount to be used as match for each line item. If a line item is to be funded by VOCA only, enter $0.
- **Total Project Amount**: The Total Project Amount will calculate automatically upon clicking ‘Save’.

**Justification**: Justification is required for each line item requested. Provide the justification for each line item in the appropriate textbox.

- **Justification – Non-Capital Equipment & Furniture**: Provide a detailed description and justify the need for each Non-Capital Equipment and/or Furniture item requested. Explain calculations and any proration. This textbox will only be required if a request was made under Non-Capital Equipment & Furniture. Response is limited to 3000 characters.
• **Justification – Operating Costs:** Justify the need for the operating costs requested. Explain calculations and any proration. Address any increases/decreases from year to year. This textbox will only be required if a request was made under Operating Costs. Response is limited to 3000 characters.

• **Justification – Client Assistance:** Provide a detailed description and justify the need for each Client Assistance line item requested above. Explain the basis for any calculations used to determine the requested amount(s). This textbox will only be required if a request was made under Client Assistance. Response is limited to 3000 characters.

• **Justification – Indirect Costs:** Explain the calculation method used to determine the indirect cost amount. Indicate whether the agency is using the 10% de minimis rate or if the agency has a federally negotiated indirect cost rate. This textbox will only be required if Indirect Costs were requested. Response is limited to 1000 characters.

12. **MATCH FORM**

**Match Requirement:** To view the match requirement for this project, refer to the Detailed Budget Summary form. If this project was identified as either operated by a Federally-recognized American Indian tribe or operating exclusively on Federally-recognized tribal lands, then the Match form will not appear because match is not required.

This form automatically populates all of the budget line items identified as match for this project. There is a match table for each year of the grant period. Subtotals for each fiscal year table will be automatically calculated upon clicking ‘Save’. The summary table for the entire grant period will also be automatically calculated upon clicking ‘Save’.

**Type:** Identify cash and in-kind match line items.

- **Cash Match** is actual cash utilized for project related costs. For example, non-federal dollars spent on a prorated portion of the rent, utilities, or salaries for direct service program personnel. Allowable cash match may only include those costs which are allowable under the DPS-VOCA guidelines. Personnel and Contractual Service match line items will be automatically labeled as cash match. If employees or outside professionals are donating time/services to the project, they should be entered as volunteers instead.

- **In-kind Match** is the value of goods or services received or provided at no cost. For example, donated time by professionals or volunteers, equivalent value of donated office space, supplies, services, etc. Volunteer match will be automatically labeled as in-kind match.

**Funding Sources:** For each match line item, indicate the funding source. The funding source(s) for cash match line items should also be represented on the Source of Funding form. The source for volunteer match will be automatically labeled as ‘Volunteer Hours’.

**Match Waiver:** The federal Office for Victims of Crime (OVC) may grant match waivers (in full or in part) if the subrecipient agency can demonstrate an extraordinary need for the match
requirement to be waived. Refer to the DPS-VOCA Guidelines for additional information regarding match waiver requests to determine if the agency should request a match waiver.

- Indicate whether or not the agency will be requesting an OVC match waiver for this project.
- If requesting a match waiver, enter the requested match waiver amount.

13. DETAILED BUDGET SUMMARY FORM

This form provides a snapshot of the entire request for funding.

The required match amount will be calculated by SAGE as follows:

- For projects requiring match, the match requirement is calculated by dividing the total VOCA amount requested by 80%. This figure represents the total project amount. Subtract the VOCA amount requested from the total project amount to determine the match requirement. Example:
  
  \[
  \begin{align*}
  \text{Total Project Amount} & = \text{VOCA Amount Requested} \div 0.80 \\
  \text{Match Requirement} & = \text{Total Project Amount} - \text{VOCA Amount Requested}
  \end{align*}
  \]

- Match is not required for projects operated by Federally-recognized American Indian tribes. Therefore, the match requirement will indicate $0.
- Match is not required for projects operating **exclusively** on Federally-recognized tribal lands. Therefore, the match requirement will indicate $0.

The Total Match Amount for each grant year must equal the Match Requirement for each grant year. If the Total Match Amount is less than or greater than the Match Requirement, return to the appropriate budget forms to adjust the match accordingly. The required adjustment for each grant year will be automatically calculated in the Match Overage/Shortage field. **The application will not be able to be submitted until the match requirement has been satisfied.**

14. SOURCE OF FUNDING FORM

The Source of Funding form is a critical part of this application and will provide a clear picture of funding for victim services within the state of Arizona.

This financial section must include funding for all victim service programs within the applicant agency, not just this proposed VOCA-funded project. In order to meet the eligibility criteria, applicants must demonstrate substantial financial support from sources other than VOCA. Additional information regarding this criteria can be found on page 10 of the DPS-VOCA guidelines.

Provide the agency’s total victim services budget for the two fiscal years requested. Do not include funding that supports non-victim assistance services provided by the agency. List each fund source (entity) separately in the appropriate section (Federal, State, Local or Other Non-federal) and the total amount received from each source. If the award year for other funds differs from the federal fiscal year, prorate funds for the period requested based on the current
award and/or projected award. The SAGE system will calculate budget totals at the bottom of the page. The ‘% of Total Budget’ column will represent each funder’s portion of the agency’s victim services budget rounded to the nearest whole number.

**VOCA Application:** Enter the VOCA amount awarded for this project in the previous fiscal year. If this project was not previously funded by VOCA, enter $0. The current fiscal year amount for this project will automatically populate based on information provided in the budget section.

**Federal:** List each type of federal funding received by the agency directly or passed through from another agency. The following are some examples of Federal funding sources: Department of Justice (VOCA, COPS, Byrne, STOP/Violence Against Women Act), Federal Emergency Management Agency, Health and Human Services (Rape Prevention, Family Violence), Children’s Justice Act, Americorps, Housing and Urban Development, etc. For each federal funding source, identify the Catalog of Federal Domestic Assistance (CFDA) number.

If the agency received (or is applying for) funding for other VOCA projects, combine the VOCA award amounts, excluding the funds for this project, and list the combined total as ‘VOCA Projects’ under the Federal Funds section. The CFDA # for VOCA is 16.575.

**State:** List any type of funding received directly from state government sources such as the Arizona Criminal Justice Commission, Department of Economic Security, Arizona Attorney General’s Office, Department of Health Services, etc.

**Local:** List any type of funding received from local governmental sources (county, municipal, township), local nonprofits (United Way – local chapter), local groups that raise money on the applicant’s behalf, donations, and fundraising.

**Other Non-Federal:** List any type of funding received from non-local agencies that are not federal agencies, such as the National Center for Victims of Crime or national foundations (e.g., United Way National).

**Clarifying Instructions:**

- **State and local government agencies** may award Federal, State, and/or Local funds; it may be necessary to contact the state or local agency to ensure funds are appropriately identified as Federal, State, or Local. Examples may include DES funding which can be State or Federal, Community Development Block Grants (CDBG) from a local municipality are typically Federal, etc.

- **County Attorney Victim/Witness Programs:** When reporting the agency’s victim services budget, do not include the Victim Compensation funds that are provided by ACJC for the purpose of paying claims submitted by victims of crime. Because these funds are intended for victims, reporting them will artificially inflate the victim services budget. Agencies can include the administrative costs that are attributable to administering the Victim Compensation Program.

- **Restitution** received on behalf of a victim should not be included.
FINANCIAL, PROGRAMMATIC, AND ANNUAL REPORT INSTRUCTIONS

1. MONTHLY FINANCIAL REPORTS

Monthly Financial Reports must be submitted in chronological order. A subsequent financial report cannot be initiated until all previous financial reports have been submitted. For example, the December financial report cannot be initiated until the October and November financial reports have been submitted. Only one financial report may be completed for each month.

Project Director and Financial Contact roles have access to initiate, edit, and submit financial reports. Project Contact roles have access to edit financial reports, but do not have authority to initiate or submit.

Click the “Monthly Financial Report” link to access the Financial Report data entry form. Utilize the Show Help feature in that screen for specific completion instructions and requirements.

DPS Payment Process
DPS processes payments twice monthly. Once a financial report has been submitted, it will be reviewed by DPS. You will be notified if modifications are required. If no modifications are required, DPS will change the status of the financial report to “Financial Report Approved”. This means the financial report will be included in the next DPS payment processing batch. Once the financial report has been processed for payment, DPS will change the status of the financial report to “Financial Report Processed”. You should expect to receive your payment 5-10 business days from the date processed.

Monthly Financial Reports are due the 15th of each month.
Report all expenditures as WHOLE DOLLARS ONLY.
When complete, change the status to “Financial Report Submitted”.

Approved Budget: Lists the funds awarded in each of the approved categories as indicated in the DPS VOCA Subgrant Award Agreement. These categories can only be changed through an approved agreement amendment request.

VOCA Expenses - Expenditures this Period: Enter all VOCA expenditures, by category, for the report period.

Match Expenses - Expenditures this Period: Enter all match expenditures, by category, for the report period.

VOCA/Match Expenditures Rate: The VOCA Expenditures Rate is calculated as follows: Total VOCA Expenditures to Date/ Total VOCA Approved Budget. The Match Expenditures Rate is calculated as follows: Total Match Expenditures to Date/ Total Match Approved Budget.

Match expenditures should be reported at approximately the same rate as VOCA expenditures. DPS VOCA allows for a 10% match variance. For example, if the VOCA Expenditure Rate is 25%, the Match Expenditure Rate may not be less than 15%. Financial reports outside of the 10% match variance may not be approved for payment. If you are outside of the 10% match variance, contact your DPS Grant Coordinator.
**Corrections**: Submitted and approved financial reports cannot be corrected. Therefore, adjustments for a prior month must be made in the current month’s correction column (i.e. expenditures that were over-reported or under-reported in a previous month). Corrections may be a positive or negative value.

**Expenditures to Date**: Reflects total expenditures reported on the current financial report and all previously submitted financial reports.

**Remaining Balance**: Reflects the amounts remaining in each budget category.

**Text Box 1 – General Comments**: A response is not required. However, you may choose to provide comments regarding the expenditures reported.

**Text Box 2 – Corrections Comments**: An explanation must be provided for each correction listed. Specify the month(s) the correction applies to and the reason for the correction(s). If no corrections are identified, leave this field blank.

**Supporting Documentation – Client Assistance**: If expenditures are reported in the client assistance category, you must complete the required client assistance template and upload the completed document to the financial report.

**Supporting Documentation – Client Assistance Receipts and/ or Other Backup Documentation (if required)**: If expenditures are reported in the client assistance category, you must also upload receipts for each expenditure as identified in the client assistance template.

Additionally, if DPS requires backup information for any other expenditure reported, you must upload the required documentation. If you are not reporting client assistance expenditures and if your DPS Grant Coordinator has not required you to provide any other backup information, no uploads are required.

**Certification**: An authorized representative of the organization must certify the accuracy of the financial report prior to submission.

**For DPS Use Only Textbox**: Your DPS Grant Coordinator may provide additional comments regarding the financial report once submitted. If the financial report requires modifications, your DPS Grant Coordinator will describe them here and send the financial report back for modifications.

2. QUARTERLY PROGRAM REPORTS

Program reports are to be submitted to DPS no later than 30 days following the end of the quarter.

The program report in SAGE consists of a narrative section and performance measure data as written in the DPS VOCA application.
Victimization types, demographics, and direct service statistics must be entered directly into the Federal Office for Victims of Crime Performance Measure Tool system (OVC PMT). For OVC PMT technical assistance, contact the Help Desk at ovcpmt@usdoj.gov or call 1-844-884-2503.

**Quarterly Program Report: Narrative**

**Percent of Victims Served by DPS VOCA/Match Funds:** Indicate the manner in which statistical information is collected. If an agency is able to identify 100% of victims served with only DPS VOCA and matching funds, indicate by checking the appropriate box. If an agency is unable to clearly identify victims served with only DPS VOCA and matching funds, pro-rate using the same percentage of DPS VOCA and matching funds to the agency’s total victim assistance budget. Indicate by checking the appropriate box and provide the percentage used.

**Narrative – Programmatic Status:** If the project is programmatically on schedule, indicate by checking the box provided; a narrative response is not required. If the project is not on schedule, do not check the box. Explain why the project is not on schedule in the textbox provided.

**Narrative – Financial Status:** If the project is financially on schedule, indicate by checking the box provided; a narrative response is not required. If the project is not on schedule, do not check the box and provide an explanation in the textbox. Upon the discovery of excess funding, subrecipients are required to notify DPS of the projected surplus and a utilization plan. If an agency would like to utilize funds in a category other than those currently approved, an Amendment Request must be completed.

**Volunteers:** Indicate the total number of volunteers, the total number of volunteer hours used towards match (if any), and the total number of volunteer hours for the quarter as related to this project. Agencies may only report volunteer hours used towards match if volunteers are an approved match line item in the application budget. If reporting volunteer match hours, the number of hours reported should reflect the volunteer value reported on the financial reports for the respective quarter.

**Quarterly Program Report: Performance Measures**

The Input, Output(s), Outcome(s), Quality Measures and Activities have been carried forward from the original application for each goal.

For this quarter, indicate the necessary data required for each measure.

In the text box at the bottom of the table, provide a narrative description of the activities performed in the quarter in relation to the Performance Measure goal. Provide any additional comments regarding measures that may be of concern.

Performance Measures may only be modified through an Amendment Request.
3. ANNUAL REPORTS

Annual Crime Victim Assistance Survey

This survey is designed to identify gaps in services and underserved crime victim populations in Arizona.

Service Elements: Identify the availability of services in your geographic area, VOCA funded or otherwise, by checking the most appropriate response listed under Box # 1 (Always Available or Usually Available) or under Box # 2 (Rarely Available or Unavailable). If Rarely Available or Unavailable is checked under Box #2, also identify whether that service is greatly needed, somewhat needed, or not needed under Box #3.

Service definitions (FOR THIS SURVEY ONLY) are as follows:

1. Crisis Counseling is defined as in-person crisis intervention, emotional support, and guidance and counseling on an individual basis provided by advocates, counselors, mental health professionals or peers. Such counseling may occur: a) at the scene of a crime; b) immediately after a crime; c) at the first, in-person contact between direct service staff (or volunteer) and victim (this would include meeting the victim in an emergency room, at a police station, or at a prosecuting attorney's office, etc.); d) during in-person contact for the duration of the crisis experience; or e) in the case of survivors of homicide victims or DUI/DWI, crisis counseling may occur months after the victimization. Safety Planning and Lethality Assessments that are conducted in any of the above settings / situations should be considered a part of Crisis Counseling.

2. On-scene Crisis Intervention is defined as emotional support, guidance and counseling provided by advocates, counselors, mental health professionals or peers to victims at the scene of the crime.

3. Follow-up Contact is defined as in-person contacts, telephone contacts and written communication with the victims to offer individual emotional support, provide empathetic listening, check on victim’s progress and offer guidance for other-than-crisis reactions after the victimization.

4. Therapy is defined as intensive professional psychological and/or psychiatric treatment for individuals, couples, and family members related to counseling, to provide emotional support in crisis arising from the occurrence of crime. This includes the evaluation of mental health needs, as well as the actual delivery of psychotherapy.

5. Group Treatment/Support is defined as the coordination and provision of supportive group activities. This includes self-help, peer, social support, drop-in groups, and community crisis intervention in a group setting.

6. Crisis Counseling via phone/hotline is defined as telephone contact to provide crisis counseling after a victimization.

7. Shelter/Safe House is defined as short-term and long-term housing and related support services provided to victims and non-offending members of their family following a victimization.

8. Information, Referral, and Connection is defined as in-person contacts with the victim, identifying services offered and support available by network and other community agencies, and/or completing linkage (actual personal or telephonic introduction) of a victim to other continuum service providers.
9. **Interpreter/Sign Language** is defined as providing sign language, verbal or other means of translation assistance to victims of crime.

10. **Criminal Justice Support/Advocacy** is defined as support, assistance and advocacy provided to crime victims at any stage of the criminal justice process. Included in this definition are: court related support, i.e. court orientation, court escort, case status and disposition information, victim impact statements, transportation for legal proceedings, child care while participating in legal proceedings, property return, and post-sentencing services and support following the disposition of a criminal court proceeding.

11. **Emergency Financial Assistance** is defined as funds for safety or security measures (replacement of locks, and temporary repair of doors and windows to prevent immediate revictimization), and payment for taxis, buses, food, shelter and clothing.

12. **Emergency Legal Advocacy** is defined as assisting victims in filing temporary restraining orders (injunctions and other protective orders), and elder or child abuse petitions. This does not include criminal prosecution or the employment of private attorneys for non-emergency legal representation purposes such as custody disputes, civil suits, civil restitution recovery efforts, and divorce actions.

13. **Assistance in Filing Compensation Claims** is defined as making victims aware of the availability of crime victim compensation and/or assisting the victim in completing the required forms and in gathering the needed documentation. It may also include follow-up contact with the victim compensation agency on behalf of the victim.

14. **Personal Advocacy** is defined as assisting victims in securing rights, remedies, and services from other agencies, locating financial support, intervening with employers, creditors and others on behalf of the victim; assistance with filing for losses covered by public and private insurance programs including workman's compensation, unemployment benefits, welfare, Medicare, etc., and accompanying the victim to the hospital. This includes case management services, domestic violence education, assistance with safety planning, life skills, translation, transportation for other than legal proceedings, child care (for reasons other than participation in criminal justice proceedings), job search, education assistance, assistance with immigration issues (if not criminal justice related).

15. **Outreach** is defined as presentations in schools, community centers, or other public forums when the primary purpose is to identify crime victims and provide or refer them to needed services. Related presentation materials, brochures, and newspaper notices, used to identify victims, are also included.

16. **Restitution Advocacy** is defined as advocating on behalf of a victim to make the offender financially responsible for damages suffered due to the victimization.

17. **Victim Rights Advocacy** is defined as assisting the victim in understanding and asserting their legal rights.

Identify any other gaps in services that exist in your area in the narrative box provided.

**Victim Populations:** Place a check mark next to any victim population you feel is currently underserved in your service area. Mark all that apply. If “Other” is selected, list the underserved populations in the corresponding narrative box.

**Counties:** Check the corresponding box for each county(ies) in your agency’s service area. This should only include the county(ies) in which your agency has operations or
facilities to provide services. Check the Tribal/Reservation Only box if your service area is strictly limited to tribal lands.

**Agency Type and Sub-Type:** These fields are automatically filled in based on the agency profile.

**Annual Narrative Report**

Narrative responses should relate to the DPS VOCA funded project (VOCA and match funds) and the respective grant period.

Each response should address the specific area/activity outlined. "N/A" is not an appropriate response.

Anecdotal information should include compelling case summary(ies) highlighting exemplary assistance provided by the agency through the VOCA funded project.

**Avoid using any information that may identify a specific victim, as this report will be available to the public.**